

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

02/13/2007

Welsh &amp; Katz

120 South Riverside Plaza

22nd Floor

Chicago, IL 60606-3913

04/26/2007 SSITHI82 00000030 10500268

01 FC:1501

1400.00 OP

02 FC:1504

300.00 OP



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Gerald T. Shekleton, Esq.

(Depositor's name)

(Signature)

April 23, 2007

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/500,268	06/23/2004	Masahiko Ando	92372	9828

TITLE OF INVENTION: METHOD FOR VANISHING DEFECTS IN SINGLE CRYSTAL SILICON AND SINGLE CRYSTAL SILICON

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	05/14/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
HITESHEW, FELISA CARLA	1722	117-002000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. WELSH &amp; KATZ, LTD.

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SUMCO TECHXIV CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

HIRATSUKA-SHI, KANAGAWA, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☒ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

4/23/07

Typed or printed name

Gerald T. Shekleton

Registration No. 27,466

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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92372



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application )  
Applicant: ANDO et al. )  
Serial No.: 10/500,268 ) Confirmation No. 9828  
Filed: June 23, 2004 )  
For: Method For Eliminating Defects )  
From Single Crystal Silicon, and )  
Single Crystal Silicon )  
Examiner: Felisa Carla Hiteshew )  
Art Unit: 1722 )

ISSUE FEE TRANSMITTAL LETTER

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is the Issue Fee Transmittal Form No. PTOL-85B, in duplicate, our  
Check No. 104886 in the amount of \$1,700.00 for the Issue Fee relating to the issuance of the  
above-identified U.S. patent application under 37 C.F.R. §1.18, and publication.

The Commissioner is authorized to charge payment of any deficiency in the patent issue fee or credit any overpayment to Deposit Account No. 23-0920. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

By Gerald T. Shekleton  
Gerald T. Shekleton, Reg. No. 27,466

Date: April 23, 2007

WELSH & KATZ, LTD.  
120 South Riverside Plaza, 22nd Floor  
Chicago, Illinois 60606  
Phone (312) 655-1500  
Facsimile Number (312) 655-1501

CERTIFICATE OF MAILING  
OF ISSUE FEE TRANSMITTAL

I hereby certify that this Issue Fee Transmittal Letter, in duplicate, the attached Issue Fee Transmittal Form PTOL-85B, in duplicate, and the attached Issue Fee check relating to the above-identified U.S. patent application, are enclosed together in an envelope being deposited with the United States Postal Service with sufficient postage as First Class Mail addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 23, 2007.

Gerald T. Shekleton  
Gerald T. Shekleton, Reg. No. 27,466